



PATENT
Attorney Docket No.: SCI-00100

#42

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James L. Hobart et al.

Serial No.: 09/018,104

Filed: February 3, 1998

For: **DUAL MODE LASER DELIVERY
SYSTEM PROVIDING
CONTROLLABLE DEPTH OF
TISSUE ABLATION AND
CORRESPONDING
CONTROLLABLE DEPTH OF
COAGULATION**

) Group Art Unit: 3739

) Examiner: Shay, David M.

) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**

) 162 North Wolfe Road
) Sunnyvale, CA 94086

) (408) 530-9700

) Customer No. 28960

RECEIVED

JAN 27 2004

TECHNOLOGY CENTER R3700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,270,222 B1;
- U.S. Patent No. 5,781,574;
- US2001/0029364 A1;
- US2001/0007068; and
- EP 1 057 454 A2.



PATENT
Attorney Docket No.: SCI-00100

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: January 15, 2004

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

RECEIVED

JAN 27 2004

TECHNOLOGY CENTER R3700

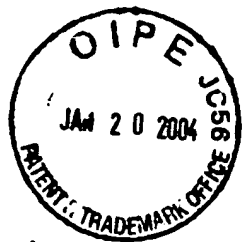
CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 1-15-04

By: [Signature]



PATENT
Attorney Docket No.: SCI-00100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James I. Hobart

Serial No.: 09/018,104

Filed: February 3, 1998

For: **DUAL MODE LASER DELIVERY
SYSTEM PROVIDING
CONTROLLABLE DEPTH OF
TISSUE ABLATION AND
CORRESPONDING
CONTROLLABLE DEPTH OF
COAGULATION**

) Group Art Unit: 3739

) Examiner: Shay, David M.

) **CERTIFICATION FOR**
) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT UNDER 37**
) **CFR § 1.97(e)**

) 162 North Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No. 28960

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

JAN 27 2004

TECHNOLOGY CENTER R3700

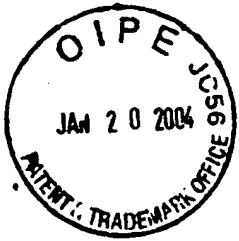
Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached references contained in this supplemental information disclosure statement were cited within an a Office Action mailed on December 2, 2003.

Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement.
37 C.F.R. 1.97(e)(2)



PATENT
Attorney Docket No.: SCI-00100

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: January 15, 2004

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

RECEIVED

JAN 27 2004

TECHNOLOGY CENTER R3700

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 2 -

HAVERSTOCK & OWENS LLP

Date: 1-15-04 By: [Signature]

3739



Attorney Docket No.: PATENT
SCI-00100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 3739
James L. Hobart *et al.*) Examiner: Shay, David M.
Serial No.: 09/018,104)
Filed: February 3, 1998) **TRANSMITTAL LETTER**
For: **DUAL MODE LASER DELIVERY**)
SYSTEM PROVIDING)
CONTROLLABLE DEPTH OF)
TISSUE ABLATION AND)
CORRESPONDING)
CONTROLLABLE DEPTH OF)
COAGULATION)
Customer No. 28960

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

JAN 27 2004

TECHNOLOGY CENTER R3700

Sir:

Enclosed please find an Supplemental Information Disclosure Statement, Form PTO-1449, copies of the references contained thereon, and a Certification for the Supplemental Information Disclosure Statement for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS

Dated: January 15, 2004

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902
Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 1 -

HAVERSTOCK & OWENS LLP.
Date: 1-15-04 By: [Signature]